

THE UNITED STATES PATENT AND TRADEMARK OFFICE

#9

In re application of

Docket No: Q55501

Erich GUENTHER

Group Art Unit: 2174

RECEIVED

Appln. No.: 09/520,890

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Confirmation No.: 9865

Examiner: Mylinh T. TRAN

**Technology Center 2100** 

Filed: March 7, 2000

For:

PAGE WINDOWS FOR COMPUTER-CONTROLLED PROCESS AND METHOD FOR

**CREATING PAGE WINDOWS** 

## **DECLARATION UNDER 37 C.F.R. § 1.131**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I, Erich Guenther, hereby declare and state:

I am the inventor of the invention entitled "PAGE WINDOWS FOR COMPUTER-CONTROLLED PROCESS AND METHOD FOR CREATING PAGE WINDOWS", disclosed and claimed in U.S. Application No. 09/520,890, filed March 7, 2000.

I am presently employed at SIEMENS AG (hereinafter "Siemens"), and was employed at Siemens at the time the invention was conceived.

Prior to August 24, 1999, I had completed the invention as described and claimed in the above-identified U.S. Application, as evidenced by the following:

Prior to August 24, 1999, having earlier conceived the idea of a method of using page windows to display different screens for a computer-controlled process and a page window\_design for implementing the method, I formally submitted the present invention to my employer Siemens in a Notification of Invention form, attached as Exhibit "A". A German-to-English translation of

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the Notification of Invention form is attached as Exhibit "B". I executed the Notification of Invention on May 16, 1999, and my supervisor received the Notification of Invention on May 18, 1999.

The above Notification of Invention form was received at the Patent Department of Siemens on June 1, 1999 (see Exhibit A).

A Decisions Regarding Notification of Invention form was executed based on a review of the invention disclosed in the above-disclosed Notification of Invention form, and the preparation of a patent application was authorized ("anmelden") on June 24, 1999. A copy of the Decisions Regarding Notification of Invention form is attached as Exhibit "C".

An order letter requesting the preparation of a patent application based on the above-disclosed Notification of Invention form was sent to Mr. George F. Lehnigk, a partner at the law firm Sughrue, Mion, Zinn, Macpeak and Seas, PLLC, on August 16, 1999. A copy of the order letter is attached as Exhibit "D". An order letter was also sent on August 16, 1999 to RWS Group requesting a German-to-English translation of the Notification of Invention form, which was to be forwarded to the Sughrue *et al.* firm for the preparation of a patent application. A copy of the RWS order letter is attached as Exhibit "E".

On September 15, 1999, the Sughrue *et al.* was notified that RWS Group would be forwarding the translation of the Notification of Invention form by September 24, 1999. A copy of the September 15, 1999 letter is attached as Exhibit "F".

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On September 27, 1999, RWS Group forwarded the English translation of the Notification of Invention form to Mr. Lehnigk at Sughrue *et al.* 

On October 4, 1999, Mr. Lehnigk and Mr. Paul J. Wilson contacted me to discuss the preparation of a patent application based on the translated Notification of Invention form. On October 26, 1999, I forwarded a CD-ROM to Mr. Lehnigk that contained exemplary page windows as disclosed in the Notification of Invention form, which was received at Sughrue *et al.* on November 15, 1999. A copy of the letter forwarding the CD-ROM is attached as Exhibit "G".

On January 5, 2000, Mr. Wilson forwarded additional questions concerning the invention disclosed in the Notification of Invention form, and I responded to his questions on January 10, 2000.

Mr. Lehnigk forwarded a draft patent application to me and Mr. Klaus Mielke of Siemens for review on February 1, 2000. Mr. Lehnigk and Mr. Paul J. Wilson from Sughrue *et al.* contacted me via telephone on February 2, 2000 to discuss the draft patent application. As a result of the telephone discussion, Mr. Lehnigk forwarded a revised draft patent application to me and Mr. Mielke for review on February 22, 2000.

On March 3, 2000, I reviewed the revised draft patent application forwarded on Feburary 22, 2000 and found it to be acceptable for filing.

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Mr. Lehnigk filed the patent application on March 7, 2000. The patent application received application number 09/520,890. The same disclosure of the Notification of Invention is fully disclosed in U.S. Application No. 09/520,890.

In view of the discussion above, and the attached copies of the corresponding documentation. I respectfully submit that I had completed and reduced to practice the method of page windows to display different screens for a computer-controlled process and a page window design for implementing the method prior to August 24, 1999.

I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: 26.2.2004

Erich Guenther